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Deliver to: Examiner Eric K. Wong
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Fax Number: (703) 872-9319 and (703) 872-9306 **Telephone No.:** _____
From: Jan Little-Washington
Date: October 25, 2004 **Time:** _____
Operator: Adrian Villarreal **Matter:** 42P10601
Number of pages including cover sheet: 14
In Re Patent Application of: Nikonov et al.
Application No.: 09/982,227
Filed: October 17, 2001
For: AN INTEGRATED OPTICAL CIRCUIT HAVING AN INTEGRATED ARRAYED
WAVEGUIDE GRATING (AWG) AND OPTICAL AMPLIFIER(S)
Enclosed are the following documents: _____
Transmittal Docs. - in duplicate (4 pages)
Amendment After Final (9 pages)

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Attorney's Docket No.: 42P10601 Patent
 In re the Application of: Nikonov et al.
 (inventor(s))
 Application No.: 09/982,227
 Filed: October 17, 2001
 For: AN INTEGRATED OPTICAL CIRCUIT HAVING AN INTEGRATED ARRAYED WAVEGUIDE
GRATING (AWG) AND OPTICAL AMPLIFIER(S)
 (title)

AMENDMENT UNDER
 37 C.F.R. § 1.116
 EXPEDITED PROCEDURE
 EXAMINING GROUP 2800

Mail Stop AF
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 P.O. Box 1450
 Alexandria, Virginia 22313-1450

SIR: Transmitted herewith is an Amendment After Final Action for the above-referenced application.

XX Applicant claims small entity status. See 37 CFR 1.27.

XX No additional fee is required.

XX A Notice of Appeal is enclosed.

The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)	SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	Claims Remaining After Amd.		Highest No. Previously Paid For	Present Extra	Rate	Additional Fee	Rate	Additional Fee
Total Claims	* 15	Minus	** 30	0	X9	\$	X18	\$ 0
Indep. Claims	* 2	Minus	*** 7	0	X44	\$	X88	\$ 0
<input type="checkbox"/> First Presentation of Multiple Dependent Claim(s)					+150	\$	+300	\$
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extra claims.
_____ X Any extension or petition fees under 37 C.F.R. § 1.17.

Date:

10/25/2004

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Attorney's Docket No.: 42P10601

Patent

In re the Application of: Nikonov et al.

(Inventor(s))

AMENDMENT UNDER
37 C.F.R. § 1.116
EXPEDITED PROCEDUREApplication No.: 09/982,227EXAMINING GROUP 2800Filed: October 17, 2001For: AN INTEGRATED OPTICAL CIRCUIT HAVING AN INTEGRATED ARRAYED WAVEGUIDEGRATING (AWG) AND OPTICAL AMPLIFIER(S)

(Title)

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	Claims Remaining After Amd.		Highest No. Previously Paid For	Present Extra
Total Claims	* 15	Minus	** 30	0
Indep. Claims	* 2	Minus	*** 7	0
First Presentation of Multiple Dependent Claim(s)				

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SMALL ENTITY

Rate	Additional Fee
X9	\$
X44	\$
+150	\$
Total Add. Fee	\$

OTHER THAN A
SMALL ENTITY

Rate	Additional Fee
X18	\$ 0
X88	\$ 0
+300	\$
Total Add. Fee	\$ 0

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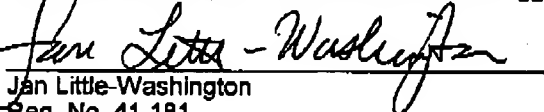
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